FISCAL YEAR 2008, Quarter Ending 9/30/07

# CHARGES, FINDINGS, CCA WITH VIOLATION, AND CCA WITHOUT VIOLATION\*

# FINAL DISPOSITION

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETER- MINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS
Speech Pathology/Audiology	Audiologist	Compliance-To Board	1				1
	Speech Pathologist	Confidentiality-Breach		1			1
		Misappropriation of Property		1			1
Speech Pathology/Audiology Tot	tal		1	2			3
Counseling	Licensed Professional Counselor	Abuse	1				1
		Business Practices/Issues	2				2
		Inability Safely Prac-Incapacitated		1			1
		Records Release	1				1
		Relationship-Inappropriate			2		2
		Standard of Care-Treatment Related			1		1
		Unlicensed Activity	1				1
Counseling Total			5	1	3		9
Dentistry	Dental Hygienist	Compliance-To Board		1			1
		Cont'd Competency Reqnot met	1				1
		Inability Safely Prac-Impairment	1				1
		Unlicensed Activity		1			1
	Dentist	Abandonment	1				1
		Advertising-deceptive/misleading	7				7
		Business Practices/Issues	35	2	1		38
		Compliance-To Board	3	1		1	5
		Criminal Activity/Conviction		1			1
		Fraud	1	2	1		4
		Inability Safely Prac-Incapacitated		1			1
		Licensure Eligibility		1			1
		Other	1				1
		Records Release	6				6
		Relationship-Inappropriate			1		1
		Standard of Care-Consent Related	2				2
		Standard of Care-Diagnosis Related	4				4
		Standard of Care-Med/Prescrip Related	1		1		2
		Standard of Care-Surgery Related	1	2			3
		Standard of Care-Treatment Related	36	5	5		46
		Unlicensed Activity	1				1
	Temporary Resident	Business Practices/Issues	1				1

CONFIDENTIAL CONSENT

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DOADD	OCCUPATION	CATECORY OF ALLECATION	NO	VIOLATION:	UNDETER-	AGREEMENT	TOTAL # of
BOARD Dontietry Total	OCCUPATION	CATEGORY OF ALLEGATION	VIOLATION 102	VIOLATION 17	MINED	WITH VIOLATION	
Dentistry Total	Funeral Establishment	Business Practices/Issues	102	17	<u>9</u>	1	129
Funeral Directing	Funeral Establishment			4	1		
		Compliance-To Board		4	1		5
	E I O	Records/Inspections/Audits	2	2			4
	Funeral Service Provider	Business Practices/Issues		2	3		5
		Criminal Activity/Conviction		1	1		2
Francia Discotio e Total		Records/Inspections/Audits	1	9	7 7		2 19
Funeral Directing Total	Nursing Home Administrator	Business Practices/Issues	3	9			19
Long-Term Care Administrators	Nursing Home Administrator		I		4		
		Compliance-To Board			1		1
T	Tatal	Records/Inspections/Audits	1				1
Long-Term Care Administrators		TA Long-ton Long Control of Pro-	2	4	1		3
Medicine	Chiropractor	Advertising-deceptive/misleading		1	4		/
		Business Practices/Issues	1		2		3
		Compliance-To Board	2				2
		Inability Safely Prac-Impairment		1			1
		Licensure Eligibility			1		1
		Reinstatement	1				1
		Relationship-Inappropriate		1	1		2
		Standard of Care-Diagnosis Related		1			1
		Standard of Care-Treatment Related	1				1
	Interns & Residents	Drug Related-Excessive Rx/Dispensing		1			1
		Drug Related-Obtaining Drugs by Fraud		1	1		2
		Drug Related-Personal Use			1		1
		Fraud	1				1
		Inability Safely Prac-Impairment			1		1
		Standard of Care-Med/Prescrip Related		1			1
		Standard of Care-Other			1		1
	Licensed Acupuncturist	Advertising-deceptive/misleading	1				1
	·	Reinstatement			1		1
		Unlicensed Activity	1				1
	Limited Radiologic Technologist	Inability Safely Prac-Impairment		1			1
	Medicine & Surgery	Abandonment	3				3
		Abuse	2	2	1		5
		Action by Another Board/Entity		8	11		19
		Advertising-deceptive/misleading			3	1	4
		Business Practices/Issues	15		16	1	32
		Compliance-To Board	9				9
		Confidentiality-Breach	2				32 9 2 2
		Cont'd Competency Reqnot met	_	1	1		2
		Criminal Activity/Conviction	1	2	2		5
1	I	January tourity, conviousing	'	_	_		ı "I

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETER- MINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS
		Drug Related-Excessive Rx/Dispensing	4		1		13
		Drug Related-Obtaining Drugs by Fraud		1			1
		Drug Related-Other		1	3		4
		Drug Related-Personal Use		1		1	2
		Fraud	7		13	1	2 21
		HPIP Dismissal			1		1
		Inability Safely Prac-Impairment		2	2		4
		Inability Safely Prac-Incapacitated		1	1		2
		Licensure Eligibility	2		1		3
		Neglect	4	2	3		2 3 9 2 1
		Other			2		2
		Prescription Blanks	1				1
		Records Release	4		7		11
		Records/Inspections/Audits	1				1
		Reinstatement			1		1
		Relationship-Inappropriate		1			1
		Required Report Not Filed	2	1	26	15	44
		Standard of Care-Consent Related	2		3		5
		Standard of Care-Diagnosis Related	9		18		27
		Standard of Care-Equip/Prod Related	1		1		5 27 2 41
		Standard of Care-Malpractice Reports	7	1	33		41
		Standard of Care-Med/Prescrip Related	13	1	9	2	25 76
		Standard of Care-Other	50		26		76
		Standard of Care-Surgery Related	10	1	29		40
		Standard of Care-Treatment Related	30	4	43	1	78
		Supervision-Neglect	4		2	1	7
		Unlicensed Activity	2	1	2		5
		zzz UnlicensAct-Aiding/Abetting				1	1
1	Occupational Therapist	Criminal Activity/Conviction		1			1
		Fraud			1		1
		Unlicensed Activity			1		1
	Osteopathy & Surgery	Action by Another Board/Entity			2		2
		Business Practices/Issues	1				1
		Compliance-To Board	1				1
		Fraud	1				1
		HPIP Dismissal	1				1
		Neglect			1		1
		Reinstatement		1			1
		Relationship-Inappropriate			1		1
I		Required Report Not Filed			2	1	3
		Standard of Care-Consent Related			1		1

CONFIDENTIAL CONSENT NO **AGREEMENT** TOTAL # of UNDETER-**BOARD OCCUPATION** CATEGORY OF ALLEGATION VIOLATION VIOLATION MINED WITH VIOLATION ALLEGATIONS Standard of Care-Malpractice Reports Standard of Care-Other 2 1 Standard of Care-Treatment Related 2 1 Supervision-Neglect 1 Physician Assistant Action by Another Board/Entity **HPIP Dismissal** 1 Inability Safely Prac-Impairment Standard of Care-Other 1 2 Standard of Care-Treatment Related Podiatry Records Release Required Report Not Filed Standard of Care-Diagnosis Related 1 Standard of Care-Malpractice Reports Standard of Care-Other 2 Standard of Care-Surgery Related Standard of Care-Treatment Related Confidentiality-Breach Radiologic Technologist Licensure Eligibility 1 Unlicensed Activity 1 Respiratory Care Practitioner Licensure Eligibility 1 Neglect Standard of Care-Other 1 Standard of Care-Treatment Related **Medicine Total** 210 53 297 27 587 Nurse Aide Nurse Aide Abandonment 5 2 Abuse 21 5 8 34 Compliance-To Board 3 Criminal Activity/Conviction 1 6 Dishonored Check Drug Related-Obtaining Drugs by Fraud Drug Related-Personal Use 2 1 Fraud 3 Inability Safely Prac-Impairment Inability Safely Prac-Incapacitated Licensure Eligibility Misappropriation of Property 6 Neglect 14 Other 2 Reinstatement 5 Relationship-Inappropriate 1 Standard of Care-Treatment Related 12 2 2

CONFIDENTIAL CONSENT

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BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETER- MINED	AGREEMENT WITH VIOLATION	TOTAL # of
BOARD	I	Unlicensed Activity	VIOLATION	VIOLATION 2	1		ALLEGATIONS
Nurse Aide Total		Officerised Activity	56	59	28		143
Nursing	Certified Massage Therapist	Criminal Activity/Conviction	1				1
Training	Continue Maccago Thorapiet	Dishonored Check	,	1			1
		Standard of Care-Treatment Related	1	·			1
		Unlicensed Activity	1				1
	Clinical Nurse Specialist	Drug Related-Obtaining Drugs by Fraud	-	1			1
		Drug Related-Personal Use		1			1
		Licensure Eligibility		1			1
	Licensed Nurse Practitioner	Fraud	1				1
		Standard of Care-Treatment Related	1				1
	Licensed Practical Nurse	Abandonment	1		2		3
		Abuse	9		2		11
		Action by Another Board/Entity	1	1	6		8
		Business Practices/Issues	3				3
		Compliance-To Board	3	3	1		7
		Confidentiality-Breach	1				1
		Criminal Activity/Conviction		2	2		4
		Dishonored Check		1			1
		Drug Related-Obtaining Drugs by Fraud		5	5		10
		Drug Related-Personal Use	2	2	4		8
		Fraud	4				4
		HPIP Dismissal	1	1	2		4
		Inability Safely Prac-Impairment	2	2	3		7
		Inability Safely Prac-Incapacitated	2		1		3
		Licensure Eligibility		2			2
		Misappropriation of Property	1				1
		Neglect	9	1	1		11
		Reinstatement		1			1
		Relationship-Inappropriate	1	1			2 8
		Standard of Care-Med/Prescrip Related	5		3		
		Standard of Care-Treatment Related	5	3			8
		Supervision-Neglect	2				2 5
		Unlicensed Activity	1	2	2		
	Registered Nurse	Abandonment	3	1			4
		Abuse	2	_			2 11
		Action by Another Board/Entity	1	1	9		11
		Compliance-To Board	3	2	6		11
		Confidentiality-Breach	1		1		2
		Criminal Activity/Conviction	1		1		2 2 1
l	1	Dishonored Check		1			1

CONFIDENTIAL CONSENT NO **AGREEMENT** UNDETER-TOTAL # of **BOARD OCCUPATION** CATEGORY OF ALLEGATION VIOLATION VIOLATION MINED WITH VIOLATION ALLEGATIONS Drug Related- Security Drug Related-Obtaining Drugs by Fraud 8 3 12 1 Drug Related-Personal Use 3 4 Fraud 6 **HPIP** Dismissal Inability Safely Prac-Impairment 5 2 Inability Safely Prac-Incapacitated 2 Licensure Eligibility Neglect 10 Standard of Care-IV/Blood Prod Related 1 Standard of Care-Med/Prescrip Related 3 8 Standard of Care-Treatment Related Supervision-Neglect 4 Unlicensed Activity Advertising-deceptive/misleading VA Practical Schools of Nursing Unlicensed Activity **Nursing Total** 113 63 68 244 **Business Practices/Issues** Optometry Optometrist Confidentiality-Breach Unlicensed Activity 1 **TPA Certified Optometrist Business Practices/Issues** Compliance-To Board 2 Cont'd Competency Req.-not met Standard of Care-Diagnosis Related Standard of Care-Treatment Related **Optometry Total** 4 5 2 18 Business CSR Pharmacy Records/Inspections/Audits 1 Medical Equipment Supplier Standard of Care-Equip/Prod Related 1 Unlicensed Activity 1 Action by Another Board/Entity 2 Non-resident Pharmacy Business Practices/Issues 1 Drug Related-Excessive Rx/Dispensing 2 Standard of Care-Med/Prescrip Related 2 Standard of Care-Treatment Related 1 Pharmacist **Business Practices/Issues** 4 2 Compliance-To Board 2 Confidentiality-Breach Drug Related-Obtaining Drugs by Fraud 4 Drug Related-Other 1 Drug Related-Personal Use 1 Fraud 1

CONFIDENTIAL CONSENT NO **AGREEMENT** TOTAL # of UNDETER-**BOARD OCCUPATION** CATEGORY OF ALLEGATION VIOLATION VIOLATION MINED WITH VIOLATION ALLEGATIONS **Inability Safely Prac-Impairment** Records Release 1 2 Records/Inspections/Audits 17 12 Relationship-Inappropriate Standard of Care-Med/Prescrip Related 16 32 Standard of Care-Treatment Related 2 Unlicensed Activity **Business Practices/Issues** 5 Pharmacy Confidentiality-Breach 1 Drug Related- Security Fraud Records/Inspections/Audits Standard of Care-Med/Prescrip Related 3 1 Standard of Care-Other Unlicensed Activity Pharmacy Intern Pharmacy Technician Business Practices/Issues Compliance-To Board Drug Related-Obtaining Drugs by Fraud Drug Related-Personal Use Inability Safely Prac-Incapacitated Inability To Safely Practice-Other Relationship-Inappropriate 1 Standard of Care-Med/Prescrip Related Unlicensed Activity **Pharmacy Total** 33 37 19 132 43 Physical Therapy Physical Therapist Standard of Care-Treatment Related Unlicensed Activity Physical Therapist Assistant Standard of Care-Treatment Related Physical Therapy Total 2 1 **Business Practices/Issues** Psychology Clinical Psychologist Confidentiality-Breach Drug Related-Personal Use 1 Fraud Records Release Relationship-Inappropriate 1 Standard of Care-Diagnosis Related 2 Standard of Care-Treatment Related 2 19 **Psychology Total** 14 3 2 Social Work Licensed Clinical Social Worker Business Practices/Issues 2 Confidentiality-Breach 3 Relationship-Inappropriate 3

CONSENT

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			NO		UNDETER-		TOTAL # of
BOARD	OCCUPATION	CATEGORY OF ALLEGATION	VIOLATION	VIOLATION	MINED	WITH VIOLATION	ALLEGATIONS
		Unlicensed Activity			1		1
	Licensed Social Worker	Standard of Care-Treatment Related			1		1
Social Work Total		8		3		11	
Veterinary Medicine	Full Service Veterinary Facility	Business Practices/Issues	1				1
		Standard of Care-Treatment Related	1				1
I	Veterinarian	Business Practices/Issues	8				3
		Compliance-To Board	5	2			7
		Drug Related- Security		1			1
		Licensure Eligibility	1				1
		Records/Inspections/Audits	2	1			3
		Standard of Care-Diagnosis Related	6	2			8
		Standard of Care-Med/Prescrip Related	3	1			4
		Standard of Care-Surgery Related	2				2
		Standard of Care-Treatment Related	24	4	1	1	30
		Unlicensed Activity		1			1
	Veterinary Technician	Compliance-To Board	1				1
		Drug Related-Obtaining Drugs by Fraud	1				1
		Standard of Care-Treatment Related	2				2
Veterinary Medicine Total			57	12	1	1	71
Grand Total			620	257	459	55	1391